PATENT

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Serial No.: Not Yet Assigned

Atty. Dkt. No.: 5820.615

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Herewith

Art Unit: 1754

For: METALLIC CATALYTIC PARTICLE

Examiner: S. Hendrickson

FOR PRODUCING SINGLE-WALLED CARBON NANOTUBES (AS AMENDED)

Box Patent Application Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. [X] Preliminary Statements
- 2. [X] Form PTO-1449 (Modified)
- 3. [] Statement as to Information Not Found in Patents or Publications (Information Not Listed in Form PTO-1449 (Modified))
- 4. [X] Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
- 5. [X] Copies of Listed Information Items Accompanying this Statement
- 6. [X] Identification of Person(s) Making this Information Disclosure Statement

Section 1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Form PTO-1449 (Modified)

- [X] A completed Form 1449 (Modified) is attached hereto.
- [] No Form 1449 (Modified) is attached.
- Section 3. Statement as to Information Not Found in Patents or Publications (Information Not Listed in Form PTO-1449)
- Section 4. Identification of Prior Application in Which Listed
 Information Was Already Cited and for Which No
 Copies Are Submitted or Need Be Submitted

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. <u>09/389,553</u>, filed on <u>September 3, 1999</u>. The listed references were submitted to and/or cited by the Office in this prior application. Therefore, copies are not submitted herewith.

Section 5. Copies of Listed Information Items Accompanying this Statement

Legible copies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure statement.

[X] Exception(s) to above:

Items in prior application from which an earlier [X] filing date is claimed for this application, as identified in Section 4. Only copies of the following patents are enclosed: 5,603,907, AX 5,965,267 and DC HYPERION CATALYSIS INTERNATIONAL Website: http://www.fibrils.com/esd.htm ; "Unique Slough Resistant SR[™] Series ESD Thermoplastic Product Line Offers Reduced Particle Contamination For Demanding Electronic Applications, and Hyperion Homepage http://www.fibrils.com .

Section 6. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information:

- [] supplied by the inventor(s)
- [] supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- [X] in the attorney's file

Respectfully submitted,

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